



Attorney Docket No. 46978-5018-01  
**PATENT**

~~DACT~~  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT of:

Tomohiro KAWATA et al.

Patent No.: 7,103,195

FOR: SPEAKER UNIT

Mail Stop PETITIONS  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**PETITION UNDER 37 C.F.R. § 3.81(b) FOR  
CORRECTION OF ASSIGNEE IN PRINTED PATENT**

Sir:

Applicants hereby petition for correction of assignment data printed on U.S. Patent No. 7,103,195.

The Fee of \$130.00 (37 C.F.R. §1.17(c)) is attached.

Applicants submit that the error in providing the incorrect assignee name on the Form PTOL-85B filed July 20, 2006 with the payment of the issue fee was inadvertent and there was no deceptive intent.

Applicants submit that the relevant Assignment was submitted for recordation as set forth in 37 C.F.R. § 3.11 before issuance of the patent, as evidenced by the attached copy of the Notice of Recordation of Assignment document (Recorded 7/13/2001, Reel/Frame 011982/0932), which reflects the corrected Assignment data as follows:

Pioneer Electronic Corporation, Tokyo (JP) and Tohoku Pioneer Electronic Corporation, Yamagata-ken (JP)

Applicants request that the file be forwarded to Certificate of Correction Branch for issuance of a Certificate of Correction, upon grant of this Petition.

02 FC:1464

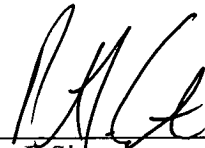
130.00 0P

Should any questions arise in connection with this application, or should the Examiner believe a telephone conference would be helpful in resolving any remaining issues pertaining to this application, it is respectfully requested that the undersigned be contacted at the number indicated below.

Respectfully Submitted,

Date: March 16, 2009  
DRINKER BIDDLE & REATH LLP  
Customer No. 55694  
1500 K Street, N.W., Suite 1100  
Washington, D.C. 20005-1209  
Tel. No.: 202-842-8800

By:

  
\_\_\_\_\_  
Peter J. Sistare  
Reg. No. 48,183  
Tel. No.: (202) 842-8829  
Fax No.: (202) 842-8465



167  
RSH  
PSH

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 22, 2001

MORGAN, LEWIS & BOCKIUS LLP  
JOHN G. SMITH, ESQ.  
1800 M STREET, N.W.  
WASHINGTON, D.C. 20036

PTAS

RECEIVED

SEP 25 2001



\*101783398A\*

MORGAN, LEWIS & BOCKIUS LLP

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/13/2001

REEL/FRAME: 011982/0932  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KAWATA, TOMOHIRO

DOC DATE: 04/18/2001

ASSIGNOR:  
MITOBE, KUNIO

DOC DATE: 04/18/2001

ASSIGNEE:  
PIONEER ELECTRONIC CORPORATION  
4-1 MEGURO 1-CHOME, MEGURO-KU  
TOKYO, JAPAN

ASSIGNEE:  
TOHOKU PIONEER ELECTRONIC  
CORPORATION  
1105 AZA NIKKO, OOAZA KUNOMOTO,  
TENDO-SHI  
YAMAGATA-KEN, JAPAN

SERIAL NUMBER: 08931615  
PATENT NUMBER:

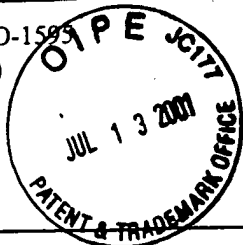
FILING DATE: 09/16/1997  
ISSUE DATE:

41464-5018-01

9-27-01

011982/0932 PAGE 2

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



REC

07-20-2001



101783398

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ET

Attorney Docket No.: 041464-5018-01

To the Commissioner for Patents:  
Please record the attached original documents or copy thereof.

ATTN: BOX ASSIGNMENT

1. Name of conveying party(ies):

7-1301

Tomohiro KAWATA  
Kunio MITOBE

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Pioneer Electronic Corporation

Street Address: 4-1 Meguro 1-chome, Meguro-ku, Tokyo,  
Japan

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Name: Tohoku Pioneer Electronic Corporation

Street Address: 1105 Aza Nikko, Ooaza Kunomoto, Tendo-  
shi, Yamagata-ken, Japan

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Date April 18, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is:

A. Patent Application No.(s) 08/931,615

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John G. Smith, Esq.  
Internal Address: Customer No.: 009629  
Morgan, Lewis & Bockius LLP

Street Address: 1800 M Street, N.W.  
City: Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41): \$40.00  
☐ Enclosed  
☒ Authorized to be charged to deposit account 50-0310

8. Deposit account number: 50-0310  
Attach duplicate of page if paying by deposit account

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip J. Hoffmann (Reg. 46, 340)

Name of Person Signing

Signature

July 13, 2001

Date

Total number of pages including cover sheet, attachments and documents: 2

07/19/2001 AM 11:01 00000182 500310 08931615

01 FC:581

40.00 CH

## ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:  
**SPEAKER UNIT**

for which I/WE executed an application for United States Letters Patent concurrently herewith; or filed an application for United States Letters Patent on September 16, 1997, (Application No. 08/931,615); and

WHEREAS, Pioneer Electronic Corporation and Tohoku Pioneer Electronic Corporation, both corporations of Japan, whose post office addresses are 4-1 Meguro 1-chome, Meguro-ku, Tokyo, Japan and 1105 Aza Nikko, Ooaza Kunomoto, Tendo-shi, Yamagata-ken, Japan, respectively (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request the attorneys I/WE have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. 08/931,615, filed September 16, 1997 ) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

Full Name of Sole or First Assignor Tomohiro KAWATA	Assignor's Signature <i>Tomohiro Kawata</i>	Date April 18, 2001
Address c/o Tohoku Pioneer Electronic Corporation 1105 Aza Nikko, Ooaza Kunomoto, Tendo-shi, Yamagata-ken, 994 Japan		Citizenship Japan
Full Name of Second Assignor Kunio MITOBE	Assignor's Signature <i>Kunio Mitobe</i>	Date April 18, 2001
Address c/o Tohoku Pioneer Electronic Corporation 1105 Aza Nikko, Ooaza Kunomoto, Tendo-shi, Yamagata-ken, 994 Japan		Citizenship Japan
Names of additional inventors attached [ ] Yes [ X ] No		



Attorney Docket No. 46978-5018-01  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT of:

Tomohiro KAWATA et al.

Patent No.: 7,103,195

FOR: SPEAKER UNIT

Commissioner for Patents  
U.S. Patent and Trademark Office  
Attn: MAIL STOP CERTIFICATE OF CORRECTION  
Alexandria, Virginia 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION**

Sir:

Pursuant to 35 U.S.C. §255 and 37 C.F.R. §1.323, this is a request for the issuance of a Certificate of Correction in the above-identified patent. A copy of Form PTO/SB/44 is appended.

A Petition under 37 C.F.R. § 3.81(b) for Correction of Assignee in Printed Patent is being filed concurrently herewith.

Applicants state that the error in providing the incorrect assignee name on the Form PTOL-85B filed July 20, 2006 with payment of the issue fee was inadvertent and there was no deceptive intent. Pursuant to 35 U.S.C. §255 and 37 C.F.R. §1.323, it is requested that a Certificate of Correction be issued correcting such mistakes.

A check in the amount of \$100.00 is attached (37 C.F.R. §1.20(a)).

Should any questions arise in connection with this application, or should the Examiner believe a telephone conference would be helpful in resolving any remaining issues

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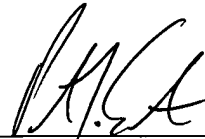
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pertaining to this application, it is respectfully requested that the undersigned be contacted at the number indicated below.

Respectfully Submitted,

Date: March 16, 2009  
DRINKER BIDDLE & REATH LLP  
Customer No. 55694  
1500 K Street, N.W., Suite 1100  
Washington, D.C. 20005-1209  
Tel. No.: 202-842-8800

By:



---

Peter J. Sistare  
Reg. No. 48,183  
Tel. No.: (202) 842-8829  
Fax No.: (202) 842-8465



**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,103,195  
DATED : September 5, 2006  
INVENTOR(S) : Kawata et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

It is hereby certified that an error appears in the assignee information listed in section (73) "Assignees" on the front page of the above-identified Letters Patent and that said Letters Patent is hereby corrected as shown below:


(73) Assignee: "Pioneer Corporation, Tokyo (JP)" should be --Pioneer Electronic Corporation, Tokyo (JP) and Tohoku Pioneer Electronic Corporation, Yamagata-ken (JP)--.

**MAILING ADDRESS OF SENDER:**

Drinker, Biddle & Reath LLP  
1500 K Street, N.W., Suite 1100  
Washington, DC 20005-1209

**PATENT NO. 7,103,195**

No. of additional copies

 1

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,103,195

DATED : September 5, 2006

INVENTOR(S) : Kawata et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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(73) Assignee: "Pioneer Corporation, Tokyo (JP)" should be --Pioneer Electronic Corporation, Tokyo (JP) and Tohoku Pioneer Electronic Corporation, Yamagata-ken (JP)--.

## MAILING ADDRESS OF SENDER:

Drinker, Biddle & Reath LLP  
1500 K Street, N.W., Suite 1100  
Washington, DC 20005-1209

PATENT NO. 7,103,195

No. of additional copies

 1

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.